

COOPERATIVE AGREEMENT MODIFICATION

Agreement/Appendix Title and Number: W912PQ-16-2-1023

Project Name/Description and Location: ANG Security Cooperative Agreement

Modification Number: P0004

ISSUED BY: NATIONAL GUARD BUREAU

ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

 a. FUNDING b. TERMS AND CONDITIONS c. TERMINATION d. OTHER ADMINISTRATIVE

Action	Funding Source	From	Change	To
FED	Allotment	\$2,595,000.00	(\$210,000.00)	\$2,385,000.00
FED	AFP	\$2,595,000.00	(\$210,000.00)	\$2,385,000.00

DESCRIPTION OF MODIFICATION:

1. JUSTIFICATION: Returning funds to NGB for EOY, Appendix 1023, ANG Security Cooperative Agreement for Fiscal Year 2016.
2. SUMMARY: De-obligating \$210,000.00 from the 109th AW; Adjust AFP to reflect program totals as funded by NGB.
3. RECOMMENDATION: TAG signature and review of the ANG Security Cooperative Agreement Appendix 1023, MOD 4 Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.
4. This Appendix is subject to the availability of funds.
5. Replace pages 5 and 6 of Appendix 1023, FY 16 with updated attachment.
6. As FY2016 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

EXECUTION

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

THE STATE OF NEW YORK:BY: 

ANTHONY F. GERMAN
Major General, NYANG
The Adjutant General

Date: 19 Oct 16**NATIONAL GUARD BUREAU:**BY: 

LAWRENCE J. ASHLEY
Colonel, USA
USPFO for New York

Date: 21 Oct 16**APPROVED AS TO LEGAL FORM:**BY: 

Counsel Robert G. Conway, Jr., MNLA

Date: 23 Sep 2016**APPROVED AS TO LEGAL FORM:**BY: 

Counsel Sean D. O'Brien, LTC, JA, NYARNG

Date: 26 Sep 2016

MODIFICATION REQUIREMENTS FOR
AIR GUARD FY-16
AIR BASE SECURITY AGREEMENT
CONTRACT NUMBER W912PQ-16-2-1023

MOD 4 - AFP/Allotment

AS OF:

9/19/2016

		CURRENT ALLOTMENT	CHANGE	NEW ALLOTMENT
GABRESKI	100% FEDERAL	\$ 815,800.00	0.00	\$ 815,800.00
	TOTAL FEDERAL	\$ 815,800.00	0.00	\$ 815,800.00
IKA		\$ -	0.00	
106th AFP:	\$815,800.00	\$ 815,800.00	0.00	\$ 815,800.00
HANCOCK	100% FEDERAL	\$ 341,600.00	0.00	\$ 341,600.00
	TOTAL FEDERAL	\$ 341,600.00	0.00	\$ 341,600.00
174th AFP:	\$341,600.00	\$ 341,600.00	0.00	\$ 341,600.00
STRATTON	100% FEDERAL	\$ 577,200.00	(210,000.00)	\$ 367,200.00
	TOTAL FEDERAL	\$ 577,200.00	(210,000.00)	\$ 367,200.00
109th AFP:	\$367,200.00	\$ 577,200.00	(210,000.00)	\$ 367,200.00
STEWART	100% FEDERAL	\$ 860,400.00	0.00	\$ 860,400.00
	TOTAL FEDERAL	\$ 860,400.00	0.00	\$ 860,400.00
105th AFP:	\$860,400.00	\$ 860,400.00	0.00	\$ 860,400.00
GRAND TOTAL (ALL BASES)	100% FEDERAL	\$ 2,595,000.00	(210,000.00)	\$ 2,385,000.00
	TOTAL FEDERAL	\$ 2,595,000.00	(210,000.00)	\$ 2,385,000.00
	TOTAL IKA (106th)	\$ -	0.00	\$ -
FED AFP Total:	\$2,385,000.00	\$ 2,595,000.00	(210,000.00)	\$ 2,385,000.00

Section 2309. Funding Limitations.

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the State for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the State. Funds shall be obligated as received by the CA PM.

c. The following funding limitations are provided for each fiscal year as it occurs.

1. Fiscal Year 2016 :	Approved Budget/(AFP) \$2,385,000.00	Total Dollars Obligated \$2,385,000.00
2. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
3. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
4. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
5. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____

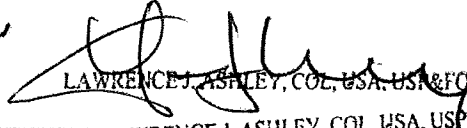
**Air National Guard Readiness Center
BUDGET AUTHORIZATION/ALLOCATION OR OPERATING BUDGET AUTHORITY**

ISSUED BY:
MAJOR COMMAND IDENTIFIER: 41
Air National Guard Readiness Center
3501 Fetcher Drive

Joint Base Andrews, MD 20762
BA Approving Official:
Electronically Authenticated APN
Luis F Maldonado, Major, USAF

ISSUED TO:
ALLOTMENT RECIPIENT IDENTIFIER: 41G5
109th Airlift Wing
USPFO for New York
330 Old Niskayuna Road
Latham, NY 12110-2224

DOCUMENT NUMBER: 121
DATE ISSUED: SEPTEMBER 15, 2016
ACCOUNTING INSTALLATION: 387700
REGISTER NUMBER: 1032200
Doc ID # 01032200121D


LAWRENCE J. ASHLEY, COL, USA, USAF&FO-NY

SYMBOL: 5763840 (58) **Operation and Maintenance, Air National Guard (3840)** **FY: 2016**

SECTION A - PROGRAM **FUND CONTROL LEVEL - Legal subdivision of funds is at the BA**

BUDGET ACTIVITY / PROGRAM LINE ITEM	PREVIOUS AMOUNT	NET CHANGE	REVISED AMOUNT
DIRECT FUNDING			
BUDGET PROGRAM 01: Operating Forces	9,588,700.00	-215,100.00	9,373,600.00
BUDGET PROGRAM 04: Administration and Servicewide Activities	7,400.00		7,400.00
TOTAL DIRECT	9,596,100.00	-215,100.00	9,381,000.00
TOTAL APPROPRIATION	9,596,100.00	-215,100.00	9,381,000.00

SECTION B - AUTHORITY **ALLOTMENT**

	QUARTER 1	QUARTER 2	QUARTER 3	QUARTER 4
DIRECT				
Previous Amount	1,257,200.00	5,006,100.00	1,555,500.00	1,777,300.00
Net Change	0.00	0.00	0.00	-215,100.00
Revised Amount	1,257,200.00	5,006,100.00	1,555,500.00	1,562,200.00
Cumulative	1,257,200.00	6,263,300.00	7,818,800.00	9,381,000.00

SECTION C - SUBSIDIARY LIMITATIONS **No Data Found**

SECTION D - REMARKS (STANDARD, OTHER)

STANDARD REMARKS

Standard Remark Text

THE FOLLOWING COMMENTS ARE AN INTEGRAL PART OF THIS FUNDING DOCUMENT & REVEAL LEGAL AND STATUTORY AND/OR REGULATORY LIMITATIONS:

- A. FUNDS SHALL NOT BE DISTRIBUTED, OR OBLIGATIONS AUTHORIZED OR INCURRED, IN EXCESS OF (1) THE TOTAL DIRECT AUTHORITY SHOWN OR (2) DIRECT FUNDS PLUS VALID EARNED REIMBURSEMENTS. REIMBURSABLE BUDGET AUTHORITY WILL BE AUTHORIZED AS EARNED. REIMBURSEMENT AUTHORITY IS AVAILABLE TO THE EXTENT REALIZED AS STATED FOR EACH BUDGET ACTIVITY (BA).
- B. RECRUITING AND ADVERTISING FUNDING IS FLOORED. UNITS CANNOT REDUCE BAC 04 BELOW THE LEVEL RECEIVED ON THEIR FUNDING DOCUMENT.
ANY TRANSFER OF FUNDS FROM BAC 01 TO BAC 04, REQUIRES PRIOR APPROVAL FROM NGB/FMA AND NGB/RSS.
- C. JCS EXERCISES/DEPLOYMENT FUNDING IS FLOORED AND MUST BE IDENTIFIED BY ANG ESP CODE.
- D. FUNDS ISSUED IN SUPPORT OF OSD APPROVED COUNTER NARCOTICS PLANS ARE INCLUDED IN BA 01. THESE AMOUNTS MAY ONLY BE

SECTION D - REMARKS (STANDARD, OTHER)

OBLIGATED OR EXPENDED IN SUPPORT OF APPROVED PLANS. USE OF PROPER ESP CODES ARE REQUIRED. REPROGRAMMING BETWEEN COUNTER NARCOTICS ESP CODES IS AUTHORIZED.

E. SPECIAL GUIDANCE IS PROVIDED IN THE ANG FINANCIAL GUIDANCE, DATA ELEMENT CODE LISTINGS, AND FROM ANG BUDGET ANALYSTS.

F. FUNDING ISSUED FOR CONVERSIONS/ROBUSTING WILL BE IDENTIFIED WITH AN ISSUE EEIC OF XXX7M/7R/7S. OBLIGATIONS FOR THESE FUNDS MUST INCLUDE THE APPLICABLE CONVERSION ANG ESP CODE.

G. FOREIGN MILITARY SLAES (FMS)--PROPER ACCOUNTING AND CODING ARE REQUIRED. ENSURE BOTH PROGRAM AND OBLIGATIONS ARE REPORTED ACCURATELY WITH ANG ESP CODES.

H. ENVIRONMENTAL FUNDING IS FLOORED.

I. FLYING HOUR FUNDING IS FLOORED. FLYING HOUR FUNDING CONSISTS OF AVPOL, FLY DLR, AND FLY SUPPLIES.

J. ALL ESP CODED FUNDING IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA.

K. DSMT (CIVILIAN/DUAL STATUS MILITARY PAY) IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA

L. FUNDING DOCUMENTS SHOULD BE LOADED IN BQ BY UNITS WITHIN TWO BUSINESS DAYS OF BEING ISSUED TO UNITS BY NGB/FM, AND MUST BE LOADED IN BQ NO LATER THAN END OF MONTH. BQ TARGETS MUST MATCH THE FUNDING ISSUED ON THE FUNDING DOCUMENTS.

SECTION E - NET CHANGE TRANSACTION LIST

BudProg	BA/AG/SAG	PE	EEIC	Local Use	D/R	AMOUNT	QTY
01	011R	52276F	53200		D	-5,100.00	0
01	011Z Per unit request, excess SCA funds-612-7086,Pruitt,Andrew,CIV	52625F	5703S	ESP Code SR	D	-210,000.00	0

SECTION F - BELOW LEGAL LIMIT DETAIL

Not Selected for Display

**MODIFICATION REQUIREMENTS FOR AIR GUARD FY16
AIR BASE SECURITY AGREEMENT
CONTRACT NUMBER W912PQ-XX-2-1023**

MOD #03

AS OF:
9/19/2016

LOCATION	PERCENTAGE	CURRENT ALLOCATION	CHANGE +/-	REVISED ALLOCATION
GABRESKI	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP:				-
HANCOCK	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP:				-
NIAGARA FALLS	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP:				-
STRATTON	100% FEDERAL	577,200.00	(210,000.00)	367,200.00
	TOTAL FEDERAL	577,200.00	(210,000.00)	367,200.00
	GRAND TOTAL	577,200.00	(210,000.00)	367,200.00
AFP:				367,200.00
STEWART	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP:				-
GRAND TOTAL (ALL BASES)	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP TOTAL:		\$0.00		-

JUSTIFICATION: FY16 Appendix 23 decrease in the amount of \$210,000.
I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).

James M. Roth, 109 AW COMPTROLLER

DATE: 19 Sept 16

MORD DECREASE

Memorandum For:

Base FMA 109 AW (41G5)

Date Field Sep 16, 2016

Your Name & Address

Name John R. Dowd, SMSgt

Organization 109 AW/FMA

Subject: Request to Decrease AF Form 406 MORD

I certify that document F9S3S55311MW01 was reviewed for validity and accuracy. There are no known liabilities against this document and all disbursements were recorded. I realize we must fund any subsequent disbursement made against this obligation.

Document Number: F9S3S55311MW01

Purchase Order (PO) Release

Release Number:

Amount of Decrease: \$210,000.00

Justification:

NGB Pull-back of these unneeded funds

Shipment Line (NUM)	Current QTY Amount	Revised Amount	Decreased Amount
F9S3S55311MW01	\$415,723.45	\$205,723.45	\$210,000.00
	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00
Total Amount Decreased			\$210,000.00

Resource Advisor Signature DOWD.JOHN.RUS SELL.1017505277

Financial Analyst Signature MILIAN.MARK.STA NLEY.1023044923

Access Online Decrease Signature