

**COOPERATIVE AGREEMENT MODIFICATION**

Agreement/Appendix Title and Number: **W912PQ-16-2-1003**

Project Name/Description and Location: **ARNG Security Guard Activities**

Modification Number: **P0004**

ISSUED BY: NATIONAL GUARD BUREAU

ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE : Transfer of funds from APP 1003 to APP 1004

Action	Funding Source	From	Change	To
FED	Allotment 100% - QPSM	\$ 809,200.00	\$238,000.00	\$ 1,047,200.00
	Allotment 100% - VIPP	\$ -	\$0.00	\$ -
	<b>TOTAL ALLOTMENT</b>	<b>\$ 809,200.00</b>	<b>\$238,000.00</b>	<b>\$ 1,047,200.00</b>
IKA	IKA Federal 100%		\$0.00	
FED	AFP 100% - QPSM	\$ 1,923,000.00	(\$162,000.00)	\$ 1,761,000.00
	AFP 100% - VIPP	\$ -	\$0.00	\$ -
	<b>TOTAL AFP</b>	<b>\$ 1,923,000.00</b>	<b>(\$162,000.00)</b>	<b>\$ 1,761,000.00</b>

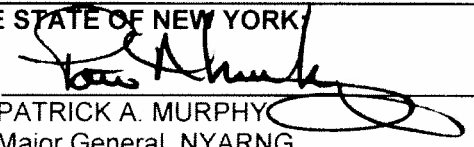
**DESCRIPTION OF MODIFICATION:**

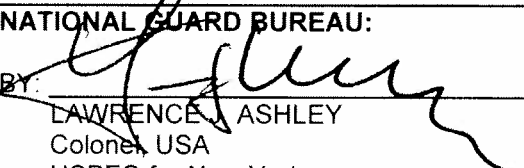
1. JUSTIFICATION: Upward Obligation for Appendix 1003, ARNG Security Guard Activities for Fiscal Year 2016; Transfer funds from Appendix 1003 to Appendix 1004, Electronic Security System (ESS) Management, Installation Operations and Maintenance (MIO&M).
2. SUMMARY:
  - a. APP 1003, ARNG Security Guard Activities, MDEP-QPSM received additional allotment in the amount of \$400,000.00.
  - b. Request transfer of \$162,000.00 from APP 1003 to APP 1004, ESS MIO&M, for technician salary and ESS site improvements, which reduces APP 1003 AFP by the same amount, to \$1,761,000.00.
  - c. Total increase in Allotment applied to APP 1003 is \$238,000.00 to bring balance to \$1,047,200.00
3. ACTION: TAG signature and review of the ARNG Security Guard Activities Appendix 1003 MOD 4. Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.
4. This Appendix is subject to the availability of funds.
5. Replace pages 6-7 of Appendix 1003, FY 16 with updated attachment.
6. As FY2016 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

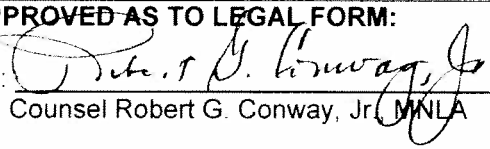
**EXECUTION**

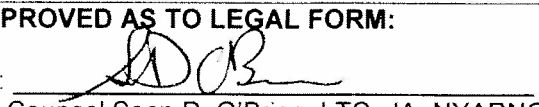
Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

**THE STATE OF NEW YORK**  
 BY:   
 PATRICK A. MURPHY  
 Major General, NYARNG  
 The Adjutant General  
 Date: 9 Mar 16

**NATIONAL GUARD BUREAU:**  
 BY:   
 LAWRENCE ASHLEY  
 Colonel, USA  
 USPFPO for New York  
 Date: 9 MAR 16

**APPROVED AS TO LEGAL FORM:**  
 BY:   
 Counsel Robert G. Conway, Jr. MNLA  
 Date: 2 March 2016

**APPROVED AS TO LEGAL FORM:**  
 BY:   
 Counsel Sean D. O'Brien, LTC, JA, NYARNG  
 Date: 29 Feb 2016

**Section 309. Funding Limitations.**

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the Grantee for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this Appendix are available for reimbursement to the Grantee. Funds shall be obligated as received by the CA PM.

1. Fiscal Year 2016:	Approved Budget (AFP) \$1,761,000.00	Total Dollars Obligated \$1,047,200.00
2. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____
3. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____
4. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____
5. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____

**Section 310. Agreement Particulars.**

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

- a. Grantee/Recipient Category: Government
- b. Grantee/Recipient Type: State Government
- c. Grantee/Recipient DUNS: 044776024
- d. Primary Place of Performance (if different from 'Issued To' on CA Modification Form): New York, 12110-3514  
(To include Zip + 4)
- e. Grantee/Recipient County (Primary Place of Performance): Albany
- f. Grantee/Recipient Congressional District (Primary Place of Performance): 36
- g. Major Agency: DOD
- h. Agency Code: 2100
- i. Funding Agency: Army
- j. Program Source Agency: 21
- k. Transaction Type: Cooperative Agreement
- l. CFDA: 12.401
- m. CFDA Program Title: Operation and Maintenance, Army National Guard
- n. Program Source Account-Funding: 2065
- o. Treasury Appropriation Code: 2065
- p. Award/Obligation/Action Date: 1 Oct 2015
- q. Starting Date: 1 Oct 2015
- r. Ending Date: 30 Sep 2016
- s. Record Type: Individual Action
- t. Fiscal Year/Quarter: 2016/2<sup>nd</sup> Qtr

u. Unique Federal Award Identification Number (FAIN)	W912PQ-16-2-1003
v. Approved Budget Amount:	\$1,761,000.00
w. R&D Award (Yes or No)	No
x. Indirect Cost Rate or CPP Rate:	\$ 2,331.00

MEMORANDUM FOR MNPF, CW3 Davin

SUBJECT: Modification of Master Cooperative Funding Agreement (CFA), W912PQ-16-2-1003, Appendix #3 (Security Guards)

1. Request that modification request #3 be issued to Appendix #3 of the Master CFA for Fiscal Year 2016 to reflect the second quarter Allotment in the amount of \$400,000.00. This changes the total of Allotment from \$809,200.00 to \$1,209,200.00. Also, request the transfer of \$162,000.00 in AFP and Allotment from Appendix #3 to appendix #4 for Electronic Security System (ESS) technician salary and ESS site improvements. This changes Appendix #3 AFP from \$1,923,000.00 to \$1,761,000.00 and Allotment from \$1,209,200 to \$1,047,200.00.

a. Section 306. Funding Limitation is changed as follows:

		(AFP) Estimated Cost	(Allotment) Maximum Funding Limit
<u>Federal Share (100%)</u>	QPSM	\$1,761,000.00	\$1,047,200.00
	Total	\$1,761,000.00	\$1,047,200.00

b. Within the Federal Share indicated above \$0.00 is reserved and designated specifically for in-kind assistance through Federal procurement procedures.

2. This appendix is subject to the availability of funds.

3. The POC on this issue is CPT Mark Colloton, and can be reached at extension 6117.

D. SCOTT CLEVELAND  
LTC, EN, NYARNG  
Program Director (CFMO)

CF:  
MNBF, Liz Halpin

## COVER SHEET

*(Prescribing Directive is DMNA Reg 1-1, Proponent Office is MNAG)*

<b>SUBJECT:</b> Transfer of funding for FY16 Appendix #3 to Appendix #4	<b>OFFICE SYMBOL:</b> MNFE
<b>ACTION REQUIRED:</b> For IMMEDIATE REVIEW AND APPROVAL	<b>DATE:</b> 29 January 2016

**MEMORANDUM FOR RECORD.** (Describe briefly the requirement, background and action taken or recommended. Description must be sufficiently detailed to identify action without recourse to other sources.) (Continue Memorandum or Coordination on reverse as needed.)

1. Justification: FY16 Transfer of funds from MNFE Appendix #3 QPSM to MNFE Appendix #4 QPSM.

Request to move \$162,000.00 in AFP and Allotment from 131QPSM to 131QPSM to support Electronic Security System (ESS) technician salary and site improvements.

2. ACTION: Approve and sign this request to transfer funds.

3. POC for this action is CPT Mark Colloton, ext 4653, mark.s.colloton.mil@mail.mil.

COORDINATIONS				ADMIN REVIEW		
OFFICE	NAME	DATE	(NON) CONCUR		INITIALS	DATE
1. MNPF	CW3 Davin	RMD	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	INITIAL REVIEW		
2. MNPF	Ms. Blanton	01 Feb 16	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	FINAL REVIEW		
MNPF	COL ASHLIN	2 Feb 16	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	<b>APPROVALS</b>		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DJS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-SAS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-COS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DAG		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-TAG		
			<input type="checkbox"/> N <input type="checkbox"/> C	FILE		DISPATCH
			<input type="checkbox"/> N <input type="checkbox"/> C	<input type="checkbox"/>		<input type="checkbox"/>

**ACTION OFFICER (Name, grade, phone and signature)**

D. Scott Cleveland, LTC, 4309